

Sponsored By: Joint Corporations, Elections & Political
Subdivisions Interim Committee

AN ACT relating to the Uniform Securities Act; adopting uniform revisions relating to general provisions, exemptions from registration of securities, registration of securities, notice filing of federal covered securities, broker-dealers, agents, investment advisers, investment adviser representatives, federal covered investment advisers, fraud, liabilities, administration, judicial review and transition to the act; conforming related provisions; providing for an appropriation; authorizing an additional position as specified; granting rulemaking authority; and providing for effective dates.

1/20/2016 Bill Number Assigned
2/5/2016 H Received for Introduction
2/9/2016 H Introduced and Referred to H07 - Corporations 59-0-1-0-0

ROLL CALL

Ayes: Representative(s) Allen, Baker, Baldwin, Barlow, Berger, Blackburn, Blake, Brown, Burkhardt, Byrd, Campbell, Cannady, Clem, Connolly, Dayton, Edmonds, Edwards, Eklund, Esquibel, K., Freeman, Greear, Halverson, Harshman, Harvey, Hunt, Jaggi, Jennings, Kasperik, Kinner, Kirkbride, Kroeker, Krone, Larsen, Laursen, Lindholm, Lockhart, Loucks, Madden, McKim, Miller, Moniz, Nicholas, B., Northrup, Paxton, Pelkey, Petroff, Piiparinen, Pownall, Reeder, Schwartz, Sommers, Steinmetz, Stubson, Throne, Walters, Wilson, Winters, Zwonitzer, Dn., Zwonitzer, Dv.

Excused: Representative Gay

Ayes 59 **Nays** 0 **Excused** 1 **Absent** 0 **Conflicts** 0

2/11/2016 H07 - Corporations:Recommend Do Pass 7-1-1-0-0

ROLL CALL

Ayes: Representative(s) Blackburn, Byrd, Jennings, Kirkbride, Lindholm, Paxton, Zwonitzer, Dn.

Nays: Representative Edwards

Excused: Representative Gay

Ayes 7 **Nays** 1 **Excused** 1 **Absent** 0 **Conflicts** 0

2/11/2016 H Placed on General File
2/11/2016 H COW:Rerefer to H02 - Appropriations
2/12/2016 H02 - Appropriations:Recommend Do Pass 6-1-0-0-0

ROLL CALL

Ayes: Representative(s) Burkhardt, Connolly, Greear, Harshman, Moniz, Stubson

Nays: Representative Nicholas, B.

Ayes 6 **Nays** 1 **Excused** 0 **Absent** 0 **Conflicts** 0

2/12/2016 H Placed on General File
2/16/2016 H COW:Passed

HB0031H2001/ADOPTED

Page 63-line 18 Delete "thirty-five" insert "forty-five".

Page 63-line 19 Delete "\$35.00)" insert "\$45.00)".
 Page 63-line 20 Delete "thirty-five dollars (\$35.00)" insert
 "forty-five dollars (\$45.00)".
 Page 63-line 22 Delete "thirty-five dollars (\$35.00)" insert
 "forty-five dollars (\$45.00)".
 Page 63-line 27 Delete "two hundred dollars" insert "two
 hundred fifty dollars".
 Page 63-line 28 Delete "\$200.00)" insert "\$250.00)".
 Page 63-line 29 Delete "two hundred dollars" insert "two
 hundred fifty dollars".
 Page 63-line 30 Delete "\$200.00)" insert "\$250.00)".
 Page 63-line 35 Delete "thirty-five" insert "forty-five".
 Page 63-line 36 Delete "\$35.00)" insert "\$45.00)".
 Page 63-line 37 Delete "thirty-five" insert "forty-five".
 Page 63-line 38 Delete "\$35.00)" insert "\$45.00)".

 Page 63-line 40 Delete "thirty-five dollars (\$35.00)" insert
 "forty-five dollars (\$45.00)".
 Page 64-line 3 Delete "two hundred dollars (\$200.00)" insert
 "two hundred fifty dollars" (\$250.00)".
 Page 64-line 4 Delete "two hundred dollars (\$200.00)" insert
 "two hundred fifty dollars" (\$250.00)".
 NICHOLAS, B.

2/17/2016 H 2nd Reading:Passed

HB0031H3001/WITHDRAWN

2/18/2016 H 3rd Reading:Passed 58-1-1-0-0

ROLL CALL

Ayes: Representative(s) Allen, Baker, Baldwin, Barlow, Berger, Blackburn, Blake, Brown, Burkhardt, Byrd, Campbell, Cannady, Clem, Connolly, Dayton, Edmonds, Edwards, Eklund, Esquibel, K., Freeman, Greear, Harshman, Harvey, Hunt, Jaggi, Jennings, Kasperik, Kinner, Kirkbride, Kroeker, Krone, Larsen, Laursen, Lindholm, Lockhart, Loucks, Madden, McKim, Miller, Moniz, Nicholas, B., Northrup, Paxton, Pelkey, Petroff, Piiparinen, Pownall, Reeder, Schwartz, Sommers, Steinmetz, Stubson, Throne, Walters, Wilson, Winters, Zwonitzer, Dn., Zwonitzer, Dv.

Nays: Representative Halverson

Excused: Representative Gay

Ayes 58 **Nays** 1 **Excused** 1 **Absent** 0 **Conflicts** 0

2/19/2016 S Received for Introduction

2/22/2016 S Introduced and Referred to S07 - Corporations

2/23/2016 S07 - Corporations:Recommend Do Pass 5-0-0-0-0

ROLL CALL

Ayes: Senator(s) Case, Hicks, Meier, Pappas, Scott

Ayes 5 **Nays** 0 **Excused** 0 **Absent** 0 **Conflicts** 0

2/23/2016 S Placed on General File

2/23/2016 S COW:Rerefer to S02 - Appropriations

2/24/2016 S02 - Appropriations:Recommend Do Pass 5-0-0-0-0

ROLL CALL

Ayes: Senator(s) Burns, Hastert, Perkins, Ross, Wasserburger
Ayes 5 **Nays** 0 **Excused** 0 **Absent** 0 **Conflicts** 0

2/24/2016 S Placed on General File
2/24/2016 S COW:Passed
2/25/2016 S 2nd Reading:Passed
2/26/2016 S 3rd Reading:Passed 30-0-0-0-0

ROLL CALL

Ayes: Senator(s) Anderson, Barnard, Bebout, Boner, Burns, Case, Christensen, Coe, Cooper, Craft, Dockstader, Driskill, Emerich, Esquibel, F., Geis, Hastert, Hicks, Johnson, Kinskey, Landen, Meier, Nicholas, P., Pappas, Perkins, Peterson, Ross, Rothfuss, Scott, Von Flatern, Wasserburger

Ayes 30 **Nays** 0 **Excused** 0 **Absent** 0 **Conflicts** 0

2/26/2016 Assigned Number HEA No. 0006
2/26/2016 H Speaker Signed HEA No. 0006
2/29/2016 S President Signed HEA No. 0006
3/3/2016 Governor Signed HEA No. 0006
3/4/2016 Assigned Chapter Number

Chapter No. 22 Session Laws of Wyoming 2016